



Office of Secretary of the State

State of Connecticut

30 Trinity Street, P.O. Box 150470, Hartford, CT 06115-0470

Susan Bysiewicz
Secretary of the State

Maria M Greenslade
Deputy Secretary of the State

February 24, 2005

Description of Help America Vote Act Spending Programs

The expenditures listed below are the expenditures authorized by the State of Connecticut, State Plan. More specifically, these expenditures are authorized pursuant to section "J" Effect of Title I Payments, page 18 of the State of Connecticut, State Plan.

I) State Plan – Election Administration Redesign and Reform Management. \$500,000

The Office of the Secretary of the State established a position entitled Help America Vote Act Coordinator. This office is charged with ensuring that all requirements of the Help America Vote Act are met in the State of Connecticut. This includes, but is not limited to supervision of printed materials and ballots, implementation maintenance of the Centralized Voter Registration System, and participation in the review and purchase of compliant voting systems.

In addition, this office was established to communicate with our 169 municipalities regarding Help America Vote Act implementation at the local level and to ensure that all funding and resources given to municipalities are used in a manner that is compliant with the provisions of the Help America Vote Act.

II) Voter Education and Poll Worker Training: \$ 465,000

The Office of the Secretary of the State conducted various voter education efforts and poll worker training sessions prior to the 2004 Presidential Election to ensure that the general public and local election officials fully understood and could comply with the provisions of the Help America Vote Act.

The voter education efforts focused on the new identification procedures for both voting in-person and by absentee ballot. In addition, our office conducted education sessions regarding provisional ballots and the availability of such ballots and of their proper use.

Finally, our office met with the local election officials on several occasions regarding the procedures to be used for provisional ballots and to discuss the new procedures regarding identification at the polls and with absentee ballots.

III) Provisional Ballots. \$130,000

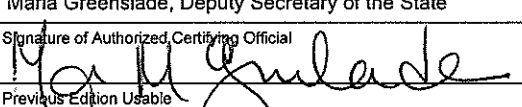
The Office of the Secretary of the State was required to implement a new provisional ballot system because this procedure was new to the State of Connecticut. This required the purchase and printing of forms, envelopes, training manuals and other related materials to ensure that the provisional ballots was effectively and properly implemented in the State of Connecticut.

General Information		(860) 509-6000	
Commercial Recording Division	(860) 509-6001 fax (860) 509-6069	State Capitol Office	(860) 509-6200 fax (860) 509-6209
Legislation and Election Administration Division	(860) 509-6100 fax (860) 509-6127	Deputy Secretary of the State	(860) 509-6212 fax (860) 509-6131
State Board of Accountancy	(860) 509-6179 fax (860) 509-6247	Management & Support Services	(860) 509-6190 fax (860) 509-6175

FINANCIAL STATUS REPORT

(Long Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted Election Assistance Commission		2. Federal Grant or Other Identifying Number Assigned By Federal Agency Help America Vote Act - Section 101 Money Only		OMB Approval No. 0348-0039	Page of 1 1 pages
3. Recipient Organization (Name and complete address, including ZIP code) Secretary of the State of Connecticut 30 Trinity Street, Hartford, CT 06106					
4. Employer Identification Number 06-6000798		5. Recipient Account Number or Identifying Number 0001130477		6. Final Report <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
7. Basis <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual					
8. Funding/Grant Period (See instructions) From: (Month, Day, Year) 5/1/2003		To: (Month, Day, Year) 2/28/2005 (02/28)		9. Period Covered by this Report From: (Month, Day, Year) 1/1/2004	
				To: (Month, Day, Year) 12/31/2004	
10. Transactions:		I Previously Reported		I This Period	
				III Cumulative	
a. Total outlays		189,341.00		1,147,732.00	
b. Refunds, rebates, etc.				0.00	
c. Program income used in accordance with the deduction alternative				0.00	
d. Net outlays (Line a, less the sum of lines b and c)		189,341.00		1,147,732.00	
11. Recipient's share of net outlays, consisting of:					
e. Third party (in-kind) contributions				0.00	
f. Other Federal awards authorized to be used to match this award				0.00	
g. Program income used in accordance with the matching or cost sharing alternative				0.00	
h. All other recipient outlays not shown on lines e, f, g and h		88,858.00		52,731.97	
i. Total recipient share of net outlays (Sum of lines e, f, g and h)		88,858.00		52,731.97	
j. Federal share of net outlays (line d less line i)		100,483.00		1,095,000.03	
k. Total unliquidated obligations					
l. Recipient's share of unliquidated obligations					
m. Federal share of unliquidated obligations					
n. Total Federal share (sum of lines j and m)				1,195,483.03	
o. Total Federal funds authorized for this funding period				4,899,517.00	
p. Unobligated balance of Federal funds (Line o minus line n)				3,704,033.97	
Program Income, consisting of:					
q. Disbursed program income shown on lines c and/or g above					
r. Disbursed program income using the addition alternative					
s. Undisbursed program income				10,288.99	
t. Total program income realized (Sum of lines q, r and s)				10,288.99	
11. Indirect Expense		a. Type of Rate (Place "X" in appropriate box) <input checked="" type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed			
		b. Rate	c. Base	d. Total Amount	e. Federal Share
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation. Report includes money spent by the State of Connecticut for Help America Vote Act implementation which is considered by the State as part of the required 5% matching funds. Report also includes any interest earned on the account.					
13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.					
Typed or Printed Name and Title Maria Greenslade, Deputy Secretary of the State				Telephone (Area code, number and extension) (860) 509-6212	
Signature of Authorized Certifying Official 				Date Report Submitted February 25, 2005	

422405 1.00

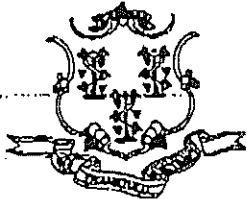
FINANCIAL STATUS REPORT
(Long Form)

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0039), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET.

Please type or print legibly. The following general instructions explain how to use the form itself. You may need additional information to complete certain items correctly, or to decide whether a specific item is applicable to this award. Usually, such information will be found in the Federal agency's grant regulations or in the terms and conditions of the award (e.g., how to calculate the Federal share, the permissible uses of program income, the value of in-kind contributions, etc.). You may also contact the Federal agency directly.

Item	Entry	Item	Entry
1, 2 and 3.	Self-explanatory.	10b.	Enter any receipts related to outlays reported on the form that are being treated as a reduction of expenditure rather than income, and were not already netted out of the amount shown as outlays on line 10a.
4.	Enter the Employer Identification Number (EIN) assigned by the U.S. Internal Revenue Service.	10c.	Enter the amount of program income that was used in accordance with the deduction alternative.
5.	Space reserved for an account number or other identifying number assigned by the recipient.	Note:	Program income used in accordance with other alternatives is entered on lines q, r, and s. Recipients reporting on a cash basis should enter the amount of cash income received; on an accrual basis, enter the program income earned. Program income may or may not have been included in an application budget and/or a budget on the award document. If actual income is from a different source or is significantly different in amount, attach an explanation or use the remarks section.
6.	Check yes only if this is the last report for the period shown in item 8.	10d.	e, f, g, h, i and j. Self-explanatory.
7.	Self-explanatory.	10k.	Enter the total amount of unliquidated obligations, including unliquidated obligations to subgrantees and contractors. Unliquidated obligations on a cash basis are obligations incurred, but not yet paid. On an accrual basis, they are obligations incurred, but for which an outlay has not yet been recorded. Do not include any amounts on line 10k that have been included on lines 10a and 10j. On the final report, line 10k must be zero.
8.	Unless you have received other instructions from the awarding agency, enter the beginning and ending dates of the current funding period. If this is a multi-year program, the Federal agency might require cumulative reporting through consecutive funding periods. In that case, enter the beginning and ending dates of the grant period, and in the rest of these instructions, substitute the term "grant period" for "funding period."	10l.	Self-explanatory.
9.	Self-explanatory.	10m.	On the final report, line 10m must also be zero.
10.	The purpose of columns I, II, and III is to show the effect of this reporting period's transactions on cumulative financial status. The amounts entered in column I will normally be the same as those in column III of the previous report in the same funding period. If this is the first or only report of the funding period, leave columns I and II blank. If you need to adjust amounts entered on previous reports, footnote the column I entry on this report and attach an explanation.	10n.	o, p, q, r, s and t. Self-explanatory.
10a.	Enter total gross program outlays. Include disbursements of cash realized as program income if that income will also be shown on lines 10c or 10g. Do not include program income that will be shown on lines 10r or 10s. For reports prepared on a cash basis, outlays are the sum of actual cash disbursements for direct costs for goods and services, the amount of indirect expense charged, the value of in-kind contributions applied, and the amount of cash advances and payments made to subrecipients. For reports prepared on an accrual basis, outlays are the sum of actual cash disbursements for direct charges for goods and services, the amount of indirect expense incurred, the value of in-kind contributions applied, and the net increase or decrease in the amounts owed by the recipient for goods and other property received, for services performed by employees, contractors, subgrantees and other payees, and other amounts becoming owed under programs for which no current services or performances are required, such as annuities, insurance claims, and other benefit payments.	11a.	Self-explanatory.
		11b.	Enter the indirect cost rate in effect during the reporting period.
		11c.	Enter the amount of the base against which the rate was applied.
		11d.	Enter the total amount of indirect costs charged during the report period.
		11e.	Enter the Federal share of the amount in 11d.
		Note:	If more than one rate was in effect during the period shown in item 8, attach a schedule showing the bases against which the different rates were applied, the respective rates, the calendar periods they were in effect, amounts of indirect expense charged to the project, and the Federal share of indirect expense charged to the project to date.



JOHN G. ROWLAND
GOVERNOR

STATE OF CONNECTICUT
EXECUTIVE CHAMBERS
HARTFORD, CONNECTICUT
06106

FAX MEMORANDUM

DATE: 4/29/03

TO: Mr. Stephen Kulenguski
U.S. General Services Administration
(202) 501-4496

CC: The Honorable Susan Bysiewicz
Secretary of the State
(860) 509-6200

FAX NUMBERS: (202) 501-1124
(860) 509-6209

NO. OF PAGES: 3, including this cover sheet

FROM: Melinda M. Decker
Assistant Legal Counsel for Governor John G. Rowland
(860) 524-7316

SUBJECT: Please find attached a copy of the chief executive officer's correspondence to the chief election official concerning Connecticut's filing of a certification only under Section 101 of the Help America Vote Act of 2002.

If you have any problems receiving this transmission, please call Meg at (860) 524-7316

NOTICE: This telecopy transmission and any accompanying documents may contain confidential or privileged information. They are intended only for use by the individual or entity named on this transmission sheet. If you are not the intended recipient, you are not authorized to disclose, copy, distribute or use in any manner the contents of this information. If you received this transmission in error, please notify us by telephone IMMEDIATELY so that we can arrange retrieval of the faxed documents.



GSA Office of the Chief Financial Officer

MAY 14 2003

The Honorable Susan Bysiewicz
The Secretary of the State
State Capitol, Room 104
Hartford, Connecticut 06106

Dear Secretary Bysiewicz:

Thank you for your participation in the Help America Vote Act, Title I application and certification process. As part of that process, you certified electronically to both Section 101 and 102. However, we subsequently received a fax memorandum (enclosed) from Melinda Decker, Assistant Legal Counsel for Governor Rowland, with a letter attached that the Governor had sent to you, concerning Connecticut's filing of a certification only under Section 101 of the Help America Vote Act.

Our Office of General Counsel reviewed the letter, and advised us that the Governor's letter should be considered the state's "official" certification. Section 101(a) of HAVA provides that, "... the Administrator shall make a payment to each State in which the chief executive officer of the State, or designee, in consultation and coordination with the chief election official, notifies the Administrator not later than 6 months after the date of the enactment of this Act that the State intends to use the payment in accordance with this section." In this case the Governor, the Chief Executive Officer of the State, clearly and unambiguously stated the State's intent to apply for funding under Sec. 101 only. This notification was coordinated with the Secretary of State, the Chief Election Officer and we received it within 6 months of the enactment of HAVA. Therefore, the Governor's letter meets the statutory requirements of HAVA for certification from the State of Connecticut.

In accordance with the opinion cited above, we have modified Connecticut's certification to reflect certification of section 101 only. This change does not affect Connecticut's eligibility for the \$5,000,000 minimum disbursement. Please call me on 202-501-0719, or Stephen Kulenguski on 202-501-4496, if you have any comments or questions. Thank you.

Sincerely,

A handwritten signature in cursive script that reads "Deborah J. Schilling".

Deborah J. Schilling
Director of Budget

Enclosure

STATE OF CONNECTICUT
EXECUTIVE CHAMBERS

HAND DELIVERED

JOHN G. ROWLAND
GOVERNOR

April 29, 2003

The Honorable Susan Bysiewicz
The Secretary of the State
State Capitol, Room 104
Hartford, Connecticut 06106

Dear Secretary Bysiewicz:

The Help America Vote Act of 2002, was signed into law by President George W. Bush on October 29, 2002. The states are eligible to receive federal funding to assist them in meeting new federal voting requirements. In order to receive that federal funding, the states must file a formal certification under Title I of the Act by today.

Were the state to file a certification under Section 102 of the Act, that section then requires the state to ensure that all lever voting machines in each of the 780 precincts across the state are replaced by next year's federal election or, with a one-time waiver, by January 1, 2006. While I certainly support taking all steps necessary to meet the new federal voting requirements, I do not wish to file a Section 102 certification and mandate that the municipalities replace all of their existing lever voting machines within the time allotted, without affording them the opportunity to explore alternatives available to them under the Act. Indeed, the Act does not require Connecticut's municipalities to replace their existing lever equipment, so long as the voting equipment meets federal voting requirements.

Please file the requisite electronic certification under Section 101, and not under Section 102, on behalf of the State of Connecticut.

I appreciate your efforts as the Chief Election Official in keeping this office abreast of federal voting requirements and assisting the municipalities in meeting those requirements. I look forward to the results of this November's demonstration project with the electronic voting machines that your office is overseeing.

Sincerely,

A handwritten signature in dark ink, reading "John G. Rowland".

JOHN G. ROWLAND
Governor